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RESEARCH ARTICLE

RDM Platform Coscine – FAIR play integrated right from the start

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Data availability:
Data can be found here:
git.rwth-aachen.de/coscine

Software availability:
Software can be found here:
coscine.de/

Abstract. Nowadays, researchers often need to distribute their research data among a multitude of service providers with varying (if any) levels of maturity in terms of FAIR Research Data Management (RDM). To provide researchers with a single point of access to their project data and to add a 'FAIR' layer to already established services, the RDM platform Coscine was developed. Within Coscine different services (so-called resources) can be added to a project, allowing access to the associated data for all project participants. A Persistent Identifier (PID) is assigned for each resource and metadata management is integrated with flexibly definable schemas based on Resource Description Framework (RDF), Web Ontology Language (OWL) and Shapes Constraint Language (SHACL). Thereby, Coscine bundles for each project the research data, metadata, interfaces and PIDs into a linked record according to the FAIR Digital Object [23] (FDO) model.

1 Introduction

For many researchers, whether from engineering sciences or other fields, an involvement with the 'FAIR Guiding Principles' [30] does not begin until the publication of an article and the sometimes-obligatory transfer of the research data to a repository. At this point, a significant amount of valuable information about the research project is often already lost. Therefore, only a fraction of the data (and metadata) collected during a research project is ever published.

But even if researchers try to follow the 'FAIR Guiding Principles' during their whole data life cycle, it is a big challenge to find a service that offers solutions for all project-related data types (e.g., managing code, collaborative work, multiple large files). Therefore, researchers typically employ a broad spectrum of IT service infrastructures for their projects that range from local to centralized, federated and external IT service providers. Central applications like Radar [15] or MASi [8] are less specific and address a wider community with more generic RDM workflows. External 'clouds' like Zenodo, Figshare or Open Science Framework (OSF) support basic RDM workflows like citation or persistent identification. By far most prominent are generic 'clouds', like the Owncloud-based tool Sciebo [28], Dropbox, Google Drive or GitLab. They are used to store and manage data, however, these options usually lack in support of RDM workflows or policies.

Taken together, the situation nowadays often leads to a fragmentation of research data among a multitude of service providers with varying (if any) levels of maturity with respect to FAIR RDM. Moreover, the amount of service providers makes it hard for researchers to keep an overview over the entirety of data related to a research project.

Thus, a software solution is needed to get all research data under one roof while supporting the 'FAIR Guiding Principles'. Based on the focus on engineering at RWTH Aachen University and the associated high volume of research data, initial analyses and developments towards such a software solution were started at the RDM team of the IT Center in 2018. Two options were analyzed:

1. develop a data management system that replaces all existing services or
2. develop a data management system that adds a 'FAIR' layer to already established services.

The first option would require an enormous amount of human resources to cover all functions already developed by other services. A recent study shows, however, that the software development in the public sector is and will be confronted with low human resources [21]. This makes the development of a data management system that replaces all existing services an unattainable goal in the near future. The second option thus has two direct advantages:

1. the data management system does not have to cover all the functions of already established services, but can focus entirely on adding features for compliance with the 'FAIR Guiding Principles' and
2. researchers can use all their established services and still get access from one platform.

To create such a data management system that supports researchers during their whole data life cycle, the RDM platform Coscine was developed at the IT Center of the RWTH Aachen University (Figure 1). Since 2020, the development is further supported by two consortia of the National Research Data Infrastructure (NFDI): NFDI4Ing [20] and NFDI-MatWerk [5]. These consortia aim to develop RDM solutions that, at best, can be applied to other disciplines as well. For the engineering sciences, NFDI4Ing was founded to develop, disseminate, standardize and provide methods and services to make engineering research data FAIR (`https://nfdi4ing.de/about-us/`).

In this paper, we show which features Coscine provides for researchers and how they support the 'FAIR Guiding Principles'– from the initial collection of data to its subsequent reuse.

2 Core Features of Coscine

Coscine is a platform for the management, storage and archiving of research (meta)data generated in the context of research projects. For each project, Coscine allows inviting all project participants, integrate the project-related data from different resources and add the related metadata (Figure 5). Specifically, Coscine offers researchers the following core features:

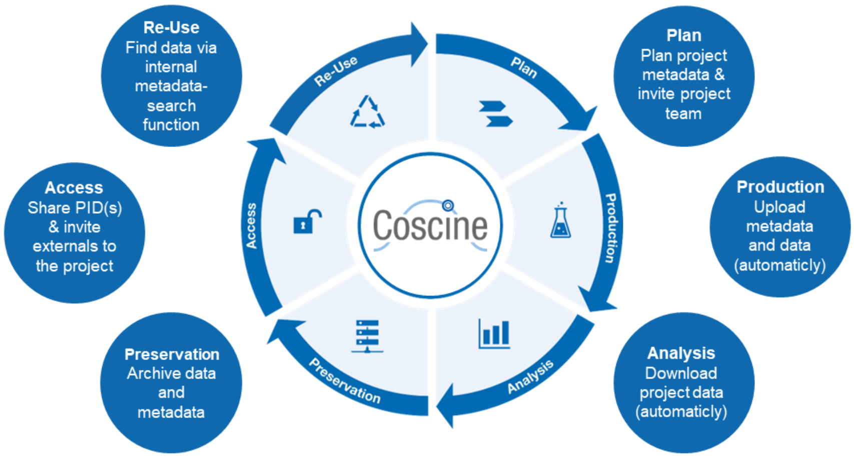

Figure 1: Using Coscine along the research data life cycle. The usage of Coscine starts at the beginning of a project, when the project-related metadata is defined and project participants are invited. During the production and analysis phase, Coscine provides access to project-related (meta)data for all project participants. Depending on the used resource type, (meta)data can be archived inside the respective resource. To access the (meta)data, Coscine assigns for each resource a PID and offers the possibility to add externals to a project. The reuse of (meta)data is supported by an internal search function.

2.1 Integration

By integrating various already established services, so-called resources (Figure 2), researchers can see and manage all project data in one place via the Coscine web interface or the Coscine API. Currently, resource types of the Research Data Storage [6] (RDS) (see below), Linked Data and GitLab are integrated. For the end of 2023 also cloud applications such as Sciebo and Nextcloud shall be added as resource type. Based on customer requests or market changes, additional resources can be continuously added or others replaced.

2.2 Storage Space

Coscine provides researchers of participating universities or access to storage space on the RDS. The RDS is a consortial object storage system funded by the Ministry of Culture and Science of the State of North Rhine-Westphalia (MKW) and the Deutsche Forschungsgemeinschaft (DFG). When using RDS resources, a retention and archiving period of research data of ten years after the end of a research project is ensured in terms of Good Scientific Practice [4] (GSP). By default, employees of participating universities receive 100 GB of storage space per project for their research data, which they can distribute among several so called RDS-Web resources. For large amounts of data, more storage space can be requested. It is also possible to request RDS via S3 (RDS-S3) resources to interact directly with the S3 buckets or RDS-S3 with the setting WORM (RDS-WORM) resources to store research data with high protection requirements and prevent subsequent manipulation of the data (Figure 2).

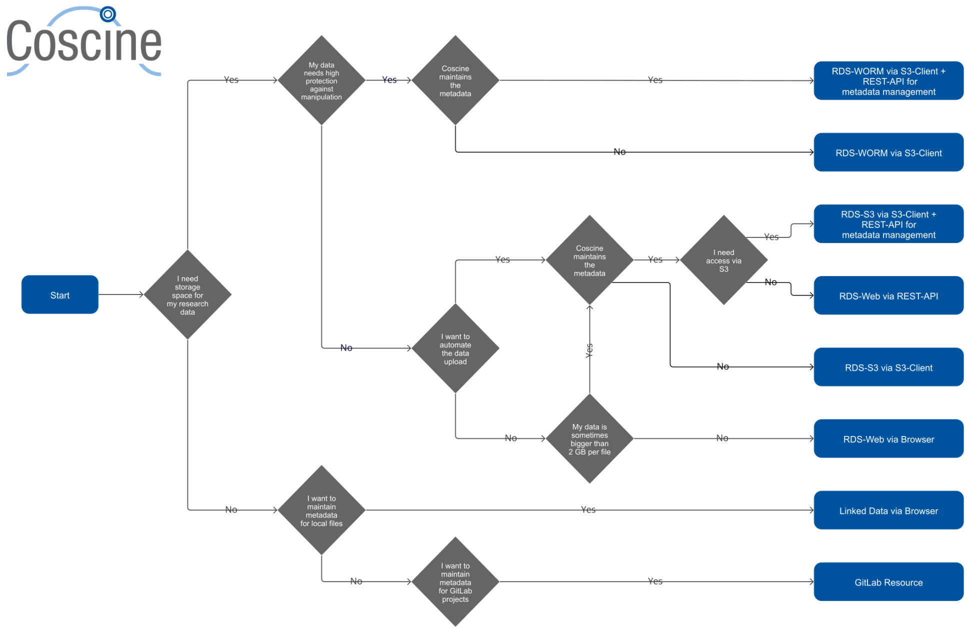

Figure 2: Resource Types in Coscine.To date, there are three different resource types in Coscine: RDS (subtypes: Web, Simple Storage Service (S3), write once, read many (WORM)), GitLab, and Linked Data. The decision diagram helps to select the right resource type based on different project needs.

Researchers can apply for RDS storage space using the Joint Application Review and Dispatch Service (JARDS) [11] (Figure 3). The JARDS platform allows researchers to create and manage their applications as well as RDM experts to review these applications regarding formal, technical and RDM specific feasibility. If large amounts of storage (>125 TB) are requested, a scientific review is performed to ensure the scientific value of the project. JARDS is already widely used within the high-performance computing community in Germany, so many researchers are already familiar with the platform and the procedure.

2.3 Collaboration

Coscine allows access for all internal and external members of a research project. Users can log in as a member of a participating organization via Shibboleth or as an external person via their Open Researcher and Contributor ID [9] (ORCID) [9]. Project members can be added to projects in a low-threshold way via their email, enabling easy collaborations.

2.4 Metadata

The use of Coscine involves three levels of metadata: at the project, resource, and data level. Adding metadata at the project and resource level is mandatory, and the necessary fields are standardized for all users and disciplines. At the data level, users can choose between different application profiles to optimally describe their research data inside a resource. All metadata are captured according to flexibly definable schemas that follow RDF, OWL, and SHACL standards. This allows a Coscine-wide search for all available metadata.

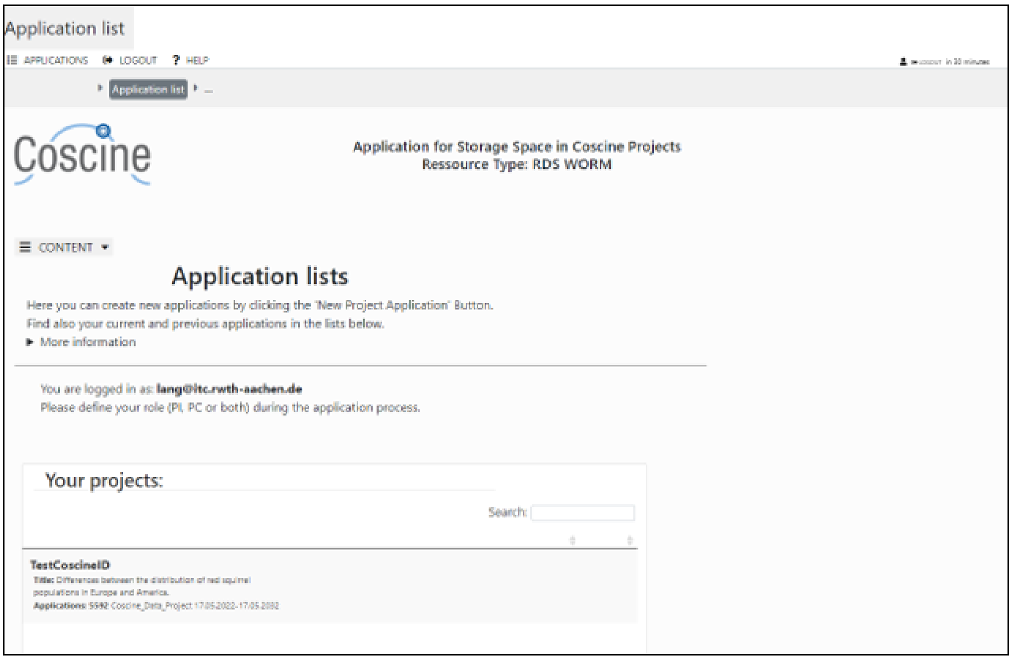

Figure 3: JARDS: Overview of ongoing and approved applications

Individual application profiles can be created using the integrated application profile generator, developed within the DFG-funded project Applying Interoperable Metadata Standards (AIMS) [7]. This application profile generator allows researchers to create new application profiles from scratch or explore and extend already existing ones (Figure 4). New profiles can be sent as a merge request to the GitLab repository of Coscine, where they are reviewed by RDM experts to ensure a required level of technical quality and interoperability for Coscine.

2.5 Archiving

After completion of a research project, research data and metadata stored in resource types of RDS or Linked Data can be archived for ten years according to GSP. Thanks to the link to metadata, the assignment of a PID and the existing access for project members, Coscine facilitates the low-threshold subsequent use of the research data even during archiving.

3 Coscine & 'FAIR Guiding Principles'

To enable the accessibility of research data in line with the 'FAIR Guiding Principles' across institutional borders, Coscine can be accessed either through participating universities or at a low-threshold level via ORCID [9]. After registration, researchers can create a research project and invite all project-related participants. The project creator is automatically the project owner and can choose between three different roles for the other participants (owner, member, or guest). In line with A1.2 of the 'FAIR Guiding Principles' [27] the mandatory registration of project participants ensures the authentication of all data owners and contributors for each dataset, while the role management enables the definition of user-specific rights.

For research projects, metadata is collected at three levels and automatically linked to the research

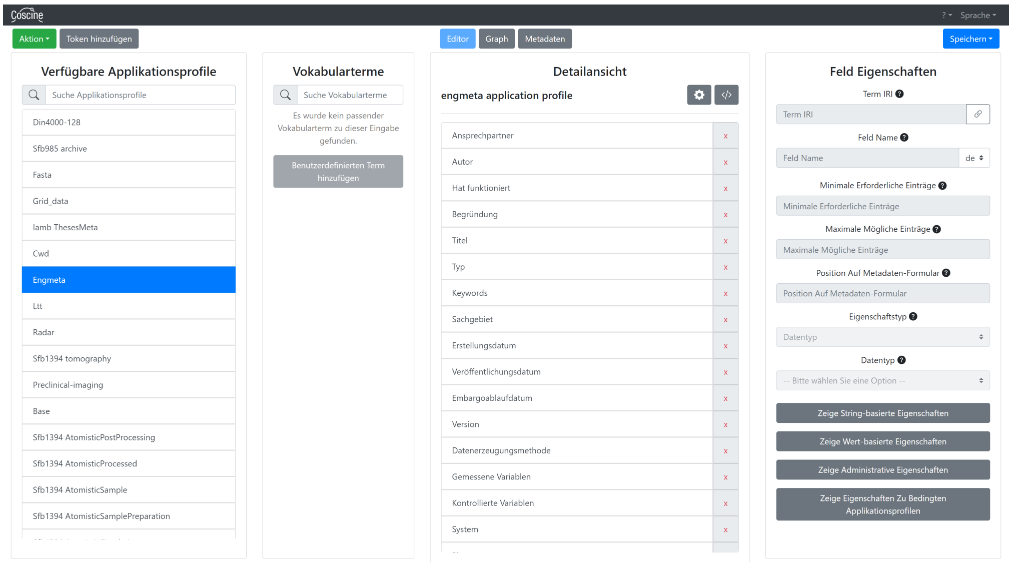

Figure 4: Screenshot of the application profile generator developed within AIMS [7].

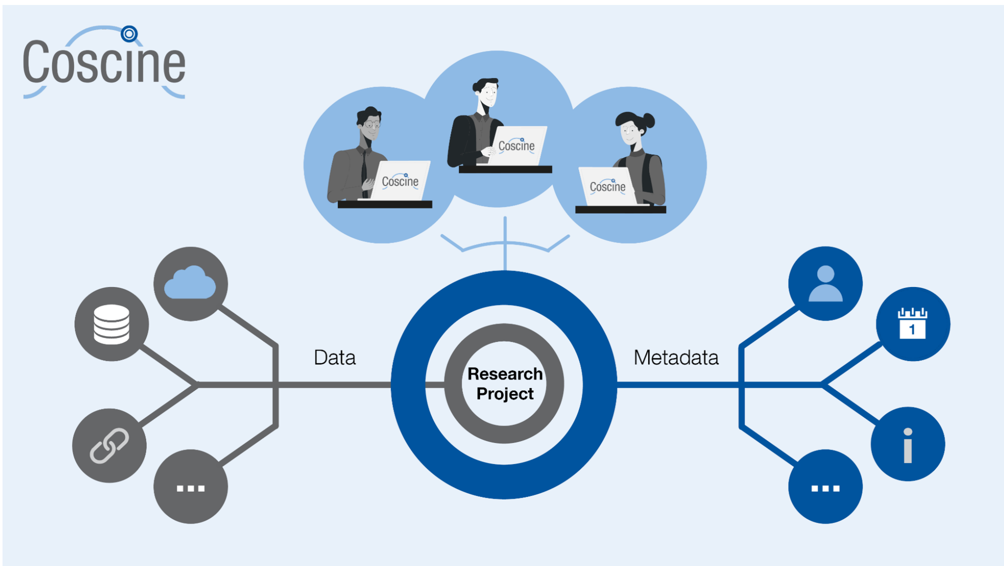

Figure 5: The project structure of Coscine. For each research project, researchers can invite all project participants (above – light blue circles), integrate the project-related data from different resources (left side – gray circles) and add the related metadata (right side – blue circles).

data. The first level of metadata relates to the research project (including name, description, Principal Investigators (PIs), discipline). The second level of metadata describes the resources, which are assigned to the research project (including resource name, discipline, keywords, metadata visibility, license). The third level of metadata is realized via application profiles that describe the uploaded or linked research data. For this step the researchers must select for each resource an application profile from various predefined profiles, e.g., for engineering research data the established EngMeta profile can be used. If a suitable application profile has not yet been added to Coscine, the AIMS Application Profile Generator [7] can be used to create a profile with individual and discipline-specific metadata. When using the storage resource type RDS-Web, file upload is only possible after entering the associated metadata in the application profile. In this way, Coscine makes metadata entry a direct part of the researcher's workflow, supporting the FAIR principles.

The World Wide Web Consortium (W3C) standards RDF [3] and SHACL [14] are used for the technical representation and validation of all metadata stored in Coscine. This largely complies with the FAIR principles regarding findability, interoperability, and reusability of metadata [27]. By using the AIMS Application Profile Generator [7] researchers without knowledge regarding RDF and SHACL can still create an application profile that suits their needs while being FAIR regarding the technical representation and validation.

Following the recommendations of the FAIR principle F4, the (meta)data are indexed in Coscine in a searchable resource via ElasticSearch. To also publish the semantically-rich and machine-actionable metadata, we work on implementing FAIR Data Point [2] (FDP) as a standardized interface [22]. Moreover, a connection to the NFDI4Ing metadata hub is currently realized via ”FAIR Digital Object” interfaces.

To support researchers' processes as much as possible and to align with A1 [27], Coscine provides open, free and universally implementable protocols to access data based on the resource type, either via a browser, using a REST API or directly via an S3 interface. This allows for high performance transfer of even large amounts of research data.

Regarding the FAIR principles F1 and A1 [27], Coscine assigns for each resource (including data and metadata) a handle-based ePIC-PID [12, 16]. This is used to uniquely and permanently identify the location of the resource and all contained files on a global level. As a result, each RDF-triple includes a PID leading to the data it describes. Within resources, fragment identifiers are used to address individual files by extending the handle URL.

The layers in Coscine (metadata, interfaces & operations and persistent identifiers) that increase the FAIRness of the research data can be best described with the framework of FDOs.

3.1 Coscine & FAIR Digital Objects

The FAIR principles are about making data findable, accessible, interoperable and reusable both for humans and machines. To reach these aims, RDM software requires a framework to store and disseminate digital objects in a robust and informative way.

Although the concept of Digital Object (DO) was introduced by Robert Kahn in the early 1990s, an ecosystem of easy tools that add the FDO layers to raw data including unique identifiers and

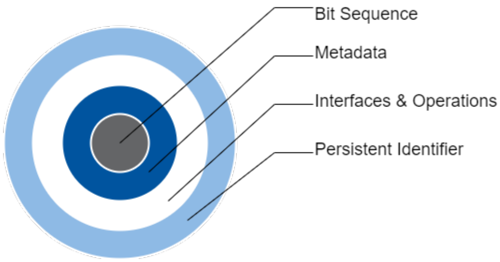

Figure 6: A layered model of an FDO with the elements needed to make the data FAIR: bit sequence, metadata, interfaces & operations and the persistent identifier [24].

metadata is still needed [13]. This issue is most prominent in current industry grade IT solutions on the market, as used for the RDS. While these usually provide high scalability at reasonable costs, their focus is clearly on (mostly) standardized storage of and access to binary information rather than (global) identification or (fine granular) description of the data itself.

Using the notion of the FDO as shown Figure 6, Coscine adds on to the bit sequences in a storage system with required elements as successive layers: metadata, interfaces & operations and finally a persistent identifier. All the elements of the FDO form a logical unit that can be distributed and fully interpreted in solitude. While FDO supplies a generic architecture, different frameworks exist for their representations [10].

For retaining the bit sequence of the FDO Coscine relies mostly on a background storage system. In the case of the RDS the provided HTTP based S3 interface can be directly handed through to the client. For storage service that do not provide an HTTP accessible interface or in cases where access management is required, Coscine provides means for protocol translation. Coscine aims to combine approaches from two frameworks: PIDs based on Kernel Information Records (KIRs) [29] and the semantic approach of the FAIR Digital Object Framework [1] (FDOF).

On the one hand, the KIR work “by injecting a tiny amount of carefully selected metadata into a [PID] record” [29]. While the metadata set is typically small and rather technical key-value-pairs, directly adding it into the PID provides basic information about the described FDO without the need of querying additional metadata indexes. The FDOF, on the other hand, provides a set of conventions that suggest “predictable resolution behaviour” [2] for accessing bit sequences and binding rich and discipline specific semantic metadata in the form of linked documents. An FDOs implemented with the combination of both frameworks thus is machine and human actionable, technically and semantically meaningful, and widely technologically independent.

The KIR is used by Coscine to store information about the (file) type of the DO and how it can be accessed. Additionally, Coscine provides links that can be followed to access the bit stream and the semantic metadata documents. The semantic representations can be retrieved from using interfaces compliant to the FDP specification that builds upon Linked Data Plattform [26] (LDP) and extends Data Catalog Vocabulary [17] (DCAT) with a metadata service. While LDP and DCAT allow discovery of data along the hierarchies defined by projects, resources and files, FDP defines the access to the rich semantic metadata and the respective application profiles for the different levels of the aforementioned hierarchy.

4 Coscine – Options for Process Automation

Many approaches to RDM consider an ideal scenario where researchers start from scratch with a new project. However, this is often not the case, since research projects have a very long lifetime and sometimes a correct management of the data and the corresponding metadata was not originally considered. In addition, research projects are generating increasing amounts of data, which requires flexible automation of data handling processes. Thus, supporting this type of projects in Coscine is important as it allows easier adaption of the platform on a larger scale.

4.1 Data Upload

Depending on the requirements of the researchers, different resource types and ways for interactions (e.g., web UI, REST API, S3 protocol) are available in Coscine, of which RDS-S3 in particular is suitable for handling large amounts of (already existing) research data (Figure 2). The RDS-S3 resource type allows an easy interaction with the underlying storage system. Research data can be directly uploaded to the S3 bucket through a variety of programs, e.g., rclone or minio. Moreover, for each RDS-S3 resource there are two access keys available with different permissions (writing and reading), thereby also allowing easy reuse of the data.

4.2 Coscine API

After resource creation and before uploading the research data, the associated metadata must be entered into the application profile through a form on the website, which supports the use of suitable metadata default values and editing a batch of files at once. This approach of metadata management is especially feasible for smaller data sets, but for working with large amounts of research data, we recommend using the Coscine API.

The API allows the use of all functions that are available on the website through scripts. To secure the access, a token is required, which can be created on the website. A token belongs personally to a unique user and allows the use of all functions that the user could access through the website. During creation, each token is assigned a time frame, in which it is valid. The maximum time frame is one year, thereby a regular revision of the access rights is ensured. Every token can be revoked at anytime, in case a token is no longer required or if it has been compromised.

The token can be used to interact with the API, which comes with an extensive documentation of all endpoints, parameters, and return values [18]. Swagger, an open-source tool set for API development, interaction and documentation [25], is used to allow the exploration and execution of example queries through a website. An option exists to create commands for every query that can be used to a create a custom script to upload the metadata. Through the detailed documentation and the possibility to copy snippets with working queries it is possible for users without a background in computer science using the API and automate parts of their workflow.

Existing research project have often already research data available that can be extracted from the environment or from some files that are stored along with the research data. With the tools described above, it is also possible to write a script that allows adding the locally available metadata to the files that are uploaded to Coscine.

4.3 Taskforce 'Coscine Technical Adaptation'

To support researchers with the technical adaptation of the RDM platform Coscine, a group of developers and data stewards has been established – the Coscine Technical Adaptation Group (CTA). The CTA is in direct contact with research groups from different disciplines. Its aim is at firstly understanding the researchers' workflows in order to provide scripts, programs, tools, and best practices for the interaction with the platform [19]. The provided material is publicly available under an open source license and researchers are encouraged to get involved with the development. Of course not every workflow can be generalized, however frequent exchange with the researchers allows a better understanding of the requirements and challenges for the adaptation of Coscine and improves the quality of RDM in the different research groups (e.g. automation of metadata collection).

5 Limitations

Coscine offers a technical environment to follow the 'FAIR Guiding Principles', however, the platform does not replace the need for subject-specific RDM knowledge – e.g., provided by data stewards employed in research projects. For example, the level of richness in metadata (reusability) is determined by the selection and completion of the application profile by the researchers. Furthermore, the link to domain-specific vocabularies and ontologies during the creation of application profiles depends on the expertise of the creating researchers.

Furthermore, Coscine does not cover all steps of the data life cycle (Figure 1) completely – especially regarding the publication of research data. This is mainly due to the generic approach of Coscine, which contrasts with the recommended subject-specific publishing of data in established repositories. In addition, Coscine has been explicitly developed as an access point for so-called 'warm' research data, thereby deliberately allowing files behind a PID to be modified during the course of the project. Coscine is continuously improved in order to promote the publication of data: Currently a contact form is established to contact advisory services (e.g. libraries). This will enable researchers to share project metadata relevant for publication with the respective advisory centers.

Moreover, the development team of Coscine can not provide access to very specific service provider for single communities due to limited resources. However, since Coscine is being developed as an open source platform, the addition of other community-specific resource types could also be realized by external development teams.

6 Conclusion

Coscine is a strong partner for researchers in their daily RDM: Thanks to the access to storage space, interfaces for automation as well as extensive collaboration possibilities, Coscine enables compliance with the 'FAIR Guiding Principles'. This spans from the very first storage of data by bundling raw data, metadata, interfaces and PIDs to a linked record according to the FDO model. Coscine ensures that these data objects are also independently findable and accessible via the API. The API allows researchers to easily enter their data and metadata into the system and facilitates subsequent use of the same. In addition, the API enables token-based authentication to automate workflows. Even for externally stored research data, Coscine allows increasing FAIRness by linking data with metadata and assigning PIDs. In this way, Coscine is a valuable contribution to the goal of NFDI4Ing: foster proper RDM in engineering sciences that implements the 'FAIR Guiding Principles'.

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8 Roles and contributions

Ilona Lang: Conceptualization, Writing – original draft

Marcel Nellesen: Conceptualization, Writing – original draft

Marius Politze: Conceptualization,Writing – original draft, Supervision, Project administration

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